

Documentation Checklist for DSMES Chart Review/Audit

Category	Item	#	Notes: Where each item is found in chart
Referral Order	Referral for DSME/T in chart (Medicare requirement)	1	
Assessment of Health Status	Relevant medical and diabetes history	2	
	Physical limitations	3	
	Current health service or resource utilization related to diabetes (hospitalizations, ER visits, eye & dental exams, vaccinations, etc)	4	
Assessment of Psychosocial Adjustment	Emotional response to diabetes/diabetes distress	5	
	Social Support systems	6	
	Readiness to learn	7	
	Financial Means	8	
Assessment of Learning Level	Diabetes knowledge	9	
	Literacy and numeracy level	10	
Assessment of Lifestyle Practices	Cultural influences	11	
	Health beliefs and attitudes	12	
	Diabetes self-management skills and behaviors	13	
Standard 7	Ongoing education planning and behavioral goal setting with follow up, based on collaboratively identified participant needs	14	
	Documented individualized follow-up on education and goals	15	
Standard 8	Ongoing self-management support options specific to the community where the DSMES services are delivered, with participant preferences noted	16	
Standard 9	At least one clinical outcome measure to evaluate the effectiveness of the educational intervention	17	
	Collaborative development of at least one SMART behavioral goal with follow up and measurement achievement	18	
	Communication back to referring provider with education provided and participant outcomes	19	